

## OBITUARY

# Edsger Wybe Dijkstra (1930–2002): A Portrait of a Genius

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### 1. Scientific Career

Edsger Wybe Dijkstra was born in Rotterdam on 11 May 1930. His mother was a mathematician and father a chemist. In 1956 he graduated from the University of Leiden in mathematics and theoretical physics. In 1959 he received his PhD from the University of Amsterdam for his thesis entitled ‘Communication with an Automatic Computer’, devoted to a description of the assembly language designed for the first commercial computer developed in the Netherlands, the X1. It also dealt with the concept of an interrupt, a novelty at that time. His PhD thesis supervisor was Aad van Wijngaarden.

From 1952 until 1962 he worked at the Mathematisch Centrum in Amsterdam, where he met his wife Ria. In 1962 they moved to Eindhoven, where he became a professor in the Mathematics Department at the Technical University of Eindhoven. Then in 1964 they moved to a newly built house in Nuenen, a small village on the outskirts of Eindhoven, which in 1973 was added to the world map of computer science when Dijkstra started to circulate his reports signed ‘Burroughs Research Fellow’ with his home address. Many thought that Burroughs, a company known at that time for the production of computers based on an innovative hardware architecture, was based in Nuenen. In fact, Dijkstra was the only research fellow of Burroughs Corporation and worked for it from home, occasionally travelling to its branches in the USA.

As a result he reduced his appointment at the university to one day a week. That day, Tuesday, soon became known as the day of the famous ‘Tuesday Afternoon Club’, a seminar during which he discussed with his colleagues scientific articles, looking at all aspects – notation, organisation, presentation, language, content, etc. Shortly after he moved in 1984 to the University of Austin, Texas, USA, a new ‘branch’ of the Tuesday Afternoon Club emerged in Austin. Dijkstra worked in Austin until his retirement in the autumn of 1999. He returned from Austin, terminally ill, to his original house in Nuenen in February 2002, where he died half a year later, on 6 August. He is survived by his wife and three children, Marcus, Femke and Rutger.

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## 2. Scientific Contributions

Through his fundamental contributions Dijkstra shaped and influenced the field of computer science like no other scientist. His ground-breaking contributions ranged from the engineering side of computer science to the theoretical one and covered several areas including compiler construction, operating systems, distributed systems, sequential and concurrent programming, software engineering and graph algorithms. Many of his papers, often just a few pages long, are the source of whole new research areas. Even more, several concepts that are now completely standard in computer science were first identified by Dijkstra and bear names coined by him.

Examples abound. In 1959 he published in a 3-page article ‘A note on two problems in connexion with graphs’ the celebrated, supremely simple, algorithm to find the shortest path in a graph, now called Dijkstra’s algorithm. Its impact over the next 40 years is best summarised by the following quotation from the article of Mikkel Thorup, ‘Undirected single-source shortest paths with positive integer weights in linear time’, from the *Journal of the ACM* 46(3): 362–394, 1999:

Since 1959, all theoretical developments in SSSP [Single-Source Shortest Paths] for general directed and undirected graphs have been based on Dijkstra’s algorithm.

Following Fortran, ALGOL 60 was the second high-level programming language. Dijkstra was closely involved in the ALGOL 60 development, realisation and popularisation. As discussed by Peter Naur in the article ‘The European side of the last phase of the development of ALGOL 60’, in the *Proceedings of the First ACM SIGPLAN Conference on History of Programming Languages*, January 1978, Dijkstra took part in the period 1958–1959 in a number of meetings that culminated in the publication of the report defining the ALGOL 60 language. Dijkstra’s name does not appear in the list of 13 authors of the final report. Apparently, he eventually left the committee because he could not agree with the majority opinions. Still, while at the Mathematisch Centrum, he wrote jointly with Jaap Zonneveld the first ALGOL 60 compiler. It employed a novel method for implementing recursion. His short book *Primer of Algol 60 Programming*, originally published in 1962, was for several years the standard reference for the language.

In a one-page paper from 1965 he introduced the ‘mutual exclusion problem’ for  $n$  processes and discussed a solution to it. It was probably the first published concurrent algorithm. The notion, standard by now, of a ‘critical section’ was also introduced in this paper. The 1986 book by Michel Raynal, *Algorithms for Mutual Exclusion*, shows what impact this single page had on the field in the first 20 years since it was published.

Dijkstra and his colleagues in Eindhoven also designed and implemented THE (standing for ‘Technische Hogeschool Eindhoven’) operating system, which was organised into clearly identified layers. His 1968 article on this subject provided the foundation for all subsequent designs of the operating systems.

In 1968 Dijkstra published his famous paper ‘Cooperating sequential processes’, a 70-page essay that originated the field of concurrent programming. He discussed in it the notion of mutual exclusion and the criteria a satisfactory solution should satisfy. He also redressed the historical perspective left out of his 1965 paper by including the first solution to the mutual exclusion problem, for two processes, due to Th. J. Dekker. Further, he proposed the first synchronisation mechanism for concurrent processes, the semaphore with its two operations, P and V. He also identified the ‘deadlock problem’ (called there ‘the problem of the deadly embrace’) and proposed an elegant ‘Banker’s algorithm’ that prevents deadlock. The deadlock detection and prevention became perennial research problems in the field of concurrent programming.

Several of these ideas were conceived by him much earlier. For example, he introduced the concept of a semaphore as early as 1962. It is discussed in his manuscript EWD 51, ‘Multiprogrammering en X8’ (Multi-programming and X8) written in Dutch (see <http://www.cs.utexas.edu/users/EWD/ewd00xx/EWD51.PDF>). The P and V operations are abbreviations for ‘Prolaag’, a non-existent Dutch word that stands for ‘lower’ (in Dutch ‘lower’ is ‘verlaag’) and for ‘Verhogen’, a Dutch word for ‘raise’. In turn, the ‘Banker’s algorithm’ appeared in his manuscript EWD 108, ‘Een algoritme ter voorkoming van de dodelijke omarming’ (An algorithm to prevent the deadly embrace) written in Dutch (see <http://www.cs.utexas.edu/users/EWD/ewd01xx/EWD108.PDF>). Also, the paper ‘Cooperating sequential Processes’ was finalised in 1965 and was available as his manuscript EWD 123 (see <http://www.cs.utexas.edu/users/EWD/ewd01xx/EWD123.PDF>).

Then, in a 1971 paper he illustrated the deadlock problem by means of the ‘dining philosophers problem’ according to which five philosophers, seated around a table, are supposed to eat spaghetti sharing only five forks. The difficulty is that each of them uses two forks to eat. This problem became a classic benchmark for explaining new synchronisation primitives. The paper also led to an intense research for high-level synchronisation mechanisms, leading eventually to the concept of a monitor, due to Per Brinch Hansen and Tony Hoare.

His two-page article ‘Self-stabilizing systems in spite of distributed control’ from 1974 is at the source of one of the main approaches to fault-tolerant computing, as can be seen by studying the book *Self-stabilization* (the name was coined by Dijkstra) of Shlomi Dolev from 2000 and by browsing through the proceedings of the annual workshops on self-stabilising systems. Interestingly, the paper was noticed only in 1983, after Leslie Lamport stressed its importance in his invited talk at the *ACM Symposium on Principles of Distributed Computing (PODC)*. It won the 2002 PODC significant paper award.

Dijkstra had the audacity to criticise the customary ‘if-then-else’ programming statement as asymmetric and proposed in 1975 instead another, symmetric, construct based on the notion of a ‘guard’. This allowed him to present the more than 2300 years old Euclid’s algorithm for computing the greatest common divisor of two natural numbers in an aesthetically pleasing symmetric form. Since then the concept of a guard spread deeply inside computer science.

In 1976 he published a seminal book, *A Discipline of Programming*, which put forward his method of systematic development of programs together with their correctness proofs. In his exposition he used his tiny ‘guarded commands’ language. The language, with its reliance on non-determinism, the adopted weakest precondition semantics and the proposed development method has had a huge impact on the field to this day.

In 1984, to add further support to this approach to programming, he published jointly with Wim Feijen an introductory textbook for first-year students of computer science. The book, first published in Dutch, was entitled *Een methode van programmeren*. The English edition appeared in 1988 as *A Method of Programming*.

In the early 1980s he published two small papers on the problem of detecting termination in distributed systems. The first one, four pages long, was written with Carel Scholten; the second, three pages long, with Wim Feijen and Netty van Gasteren. The problem was independently formulated and solved by Nissim Francez in a more restricted setting of CSP programs. It became one of the most often studied problems in the area of distributed programming and a number of surveys on the subject appeared.

Then in 1990 he published his book *Predicate Calculus and Program Semantics* with Carel Scholten. The book was devoted to logical and mathematical analysis of his weakest precondition semantics with a long prelude concerning predicate calculus. However, the book received mixed reviews. We shall return to this matter later.

His fundamental achievements were early recognised. In 1972 he had already obtained the ACM Turing award. He was a Foreign Honorary Member of the American Academy of Arts and Sciences, member of the Royal Netherlands Academy of Arts and Sciences (KNAW), and held the doctor honoris causa titles from the universities of Belfast, Northern Ireland and Athens, Greece. In addition, over a period of 30 years, he received numerous awards and distinctions, some just a couple of weeks before his death. On 10 April 2001, the Dutch television broadcast a half-hour TV programme about Dijkstra, which was very favourably reviewed in the main daily, the *NRC Handelsblad*.

Not surprisingly, his obituary appeared in a number of newspapers, including the *New York Times*, the *Washington Post* and the *Guardian*.

### 3. Working Style

Dijkstra never wrote his articles using a computer. He preferred to rely on his typewriter and later on his Mont Blanc fountain pen. These articles were then distributed in an old-fashioned way: he sent copies to a few friends and associates who then served as the source nodes of the distribution centres. These short articles span a period of 40 years. They are rarely longer than 15 pages and are consecutively numbered. The last one, No. 1318, is from 14 April 2002. Within computer science they are known as the EWD reports, or, simply the EWDs. The early ones are not dated so it is difficult to ascertain their publication date.

A major change occurred when as part of the celebration of his 70th birthday the Computer Science Department in Austin issued in 2000 a CD-ROM with most of the EWD reports. They are also available from the website <http://www.cs.utexas.edu/users/EWD/> maintained by Ham Richards, with the more recent reports added. This huge amount of material, in total over 7700 pages, consists of scientific articles, essays, position papers, conference and scientific trip reports, open letters, speeches and lately, increasingly often, elegant expositions of solutions to well-known and less well-known combinatorial problems and puzzles.

Dijkstra applied to his work a rigorous self-assessment procedure and only a small fraction of the EWDs were eventually submitted to refereed journals. As a result many of his contributions are not well known.

His handwriting was so perfect and distinct that in the late 1980s Luca Cardelli, then from the DEC Systems Research Center, designed a ‘Dijkstra’ font for Macintosh computers. Soon after, Dijkstra received

a letter typeset in this font and thought it was handwritten until news reached him about the creation of this font. Some of Dijkstra's colleagues occasionally used this font in their slide presentations during the departmental meetings in Austin. Those curious to see his striking handwriting and his extraordinarily elegant exposition style can download, for example, his presentation of the perennial wolf, goat and cabbage puzzle (see EWD 1255 from 2000).

In writing his elegance was unmatched. He could write about formal issues in the form of an essay, with hardly any formulas. His already discussed paper 'Cooperating sequential processes' is perhaps the best example. Similarly, he was able to discuss (one should rather say, derive) intricate algorithms in distributed computing in a seemingly informal way, in plain prose, with just a few simple formulas. He wrote his articles in a unique style characterised by conciseness, economy of argument and clarity of exposition. Each sentence was carefully chiselled. Each paragraph was striking.

In fact, in all that he did he was a perfectionist to the utmost. His lectures were always impeccably delivered, often with a sense of unique drama, and given only with a chalk and a blackboard, completely out of his head. They were also highly entertaining because of his sharp comments, striking turns of phrase, or curious quotations that he used to put on the blackboard before starting his lecture. In a classroom he would never ask an audience to keep silent. Instead, he would lower his voice to the point of being hardly audible. This trick was amazing effective.

Starting in the late 1970s he became interested in the subject of the development and presentation of proofs. Some of these proofs were surprising applications of his programming methodology to geometry or algebra. He criticised the use of implication, an unneeded reasoning by cases, or the reasoning by contradiction. Instead, he favoured the proofs presented as chains of equivalences with each step justified as an interlaced comment, and liked to stress the fact that the equivalence is associative, a fact that logicians knew but apparently never used.

He also designed his own notation for first-order logic that took better care of the quantifiers with explicitly given ranges and in general thought nothing of mathematicians' disdain for presentation and lack of attention to the notation. He even criticised the familiar use of the  $\Sigma$  for summation as sloppy and misleading. Moreover, he repeatedly argued for numbering starting from zero, so his reports, from a certain moment on, invariably begin with page 0, and when he was writing about  $n$  processes, they were invariably numbered  $0, \dots, n - 1$ . Also, he opposed using drawings or examples to illustrate concepts, for example, specific types of graphs.

#### 4. His Opinions

In personal contacts with colleagues, he tended to appear stiff, austere and aloof. On some rare occasions he was even plainly rude, like in the early 1980s when in Utrecht he demonstratively left in the middle of a lecture on computer networks given by a prominent computer scientist from the Free University of Amsterdam, but only after having bombarded him with questions about terminology. Several years later, in Austin, he said 'Thank God' in reaction to a comment 'I am losing my voice' uttered by a renowned computer scientist from the MIT toward the end of her lecture. (A letter with apologies from the department chairman promptly followed.) But in informal, private meetings in his office, he could be most charming, serving coffee to students and making subtle one-liner jokes of his own creation.

As a result of his reserved behaviour and uncompromising positions he was disliked by a number of colleagues, in particular in the Netherlands. They saw nothing in his prophet-like statements and biting comments, often delivered from the back of the lecture room, and directed against the hacker's approach to programming, against the sloppily chosen notation, or expressing his disapproval of the badly organised lecture. Often this scepticism towards his opinions and ideas could be simply explained by plain jealousy that his viewpoints and articles were widely cited and discussed. Consequently, when a prominent speaker was sought for some important event in the Netherlands, Dijkstra was usually passed over.

On a number of occasions, his extreme views became the standard currency several years later. For example, in 1968 he published a two-page note, 'Goto considered harmful', that criticised the use of the goto statement in programming languages. It led to a huge uproar. Thirty years later the goto statement shines by its absence in Java, and the title 'idea x or construct y considered harmful' has been borrowed several times, most recently in an article in the *Communications of the ACM* 45(8), 2002.

His opinions on programming were often sharply criticised and hotly debated, but they paved the way for the increased attention to programming methodologies and use of formal methods for verifying software for ‘critical applications’, and contributed early to a better understanding of the complexity involved in the programming process. In the end, if a spokesman was sought to elucidate the problems of the ‘software crisis’, the eyes turned invariably towards Dijkstra.

Dijkstra enriched our vocabulary by numerous other terms, phrases and slogans. The widely used term ‘structured programming’ was coined in his elegant ‘Notes on structured programming’ from 1972. Another slogan, ‘separation of concerns’, so often used in software engineering, goes back to his short note ‘On the role of scientific thought’ from 1974 (EWD 447).

He could formulate his opinions with utmost clarity and with a razor-sharp precision, so they lent themselves naturally to be used as mottos to book chapters or articles, or as a justification for a new line of research. One could easily publish a booklet with his aphorisms and strikingly refreshing statements about computers, software or computer science.

These opinions could also lead to strong opposition from other computer scientists, including prominent ones. Don Knuth wrote in 1974 a 40-page long article entitled ‘Structured programming with goto statements’. Dijkstra’s views on teaching computer science, presented during the *ACM Computer Science Conference* in February 1989 in a talk entitled ‘On the cruelty of really teaching computer science’, led to a publication in the *Communications of the ACM* 32(12), 1989 of ‘A debate on teaching computing science’. In this issue Richard Karp, Richard Hamming and other prominent computer scientists criticised his opinions as too extreme and too radical, in particular because of his insistence that an introductory course in programming should be primarily a course in formal mathematics, completely free of program testing.

It should also be added that on some occasions Dijkstra’s lack of reception of new ideas seemed to stem from his inability to see through a notation he disliked, his rejection of correctness proofs based on operational reasoning (by this yardstick temporal logic was ‘out’), or from his resistance to absorb ideas that were presented in a highly technical form. Also, on a couple of occasions, his refusal to acquaint himself with the basic literature in mathematical logic led him astray, like his ‘discovery’ that equivalence is associative.

In fact, his and Scholten’s book *Predicate Calculus and Program Semantics* received a devastating review by Egon Börger in *Science of Computer Programming* 23:1–11, 1994. Börger showed how several laws of propositional calculus, the proofs of which are ‘spiced with pompous methodological comments’, can be proved in a completely straightforward way using the approach of I. I. Shegalkin from 1928, in which each Boolean expression is represented as a polynomial with the coefficients 0 or 1. Börger also harshly criticised the highly biased account of the development of predicate logic in which only selected few logicians were mentioned and in which a straight line was drawn from Leibniz, through Boole to the authors. To be fair, one should mention here that Dijkstra’s and Scholten’s approach to proof presentation, together with the work of others, also led to a development of what is now known as ‘calculational logic’.

Such a cavalier approach to references was one of the reasons why some colleagues resented Dijkstra. In fact, bibliographic references were never a strong point of Dijkstra’s work, and most of his articles and books have no references at all. In the preface of his book *A Discipline of Programming* he simply stated disarmingly ‘For the absence of a bibliography I offer neither explanation nor apology’.

All that was probably the small price he had to pay for being able to focus on his own ideas and his own approach. After all, in most of what he did he was a pioneer and consequently an autodidact. Apparently, to remain creative and highly original he had to shut off other people’s work. He would rather derive all results from first principles, ignoring work done by others. He was more interested in the thought process behind the development of a result, rather than the result itself. In fact, most of his work has dealt with methodology.

His strong personality combined with remarkable working habits and definite opinions on how to conduct research appealed to many researchers. He seemed to believe that everyone should think, and even behave, the way he did. This made him a natural prophet and accounted for many of his idiosyncrasies. He attracted a relatively small but stable group of disciples, which included both PhD students and highly renowned computer scientists, who adopted his writing style and notation, his manners, use of a fountain pen, and occasionally even his type of sandals.

## 5. Life in Austin

In Austin he found his second home. He liked the United States and its national parks and often spent vacations travelling around with his wife in their Volkswagen bus, dubbed the Turing Machine. When

operating on familiar territory, he was sociable and friendly. He and his wife would often drop in on friends and colleagues in the evening, unannounced, for a social half hour. He was also often most helpful and with an original sense of humour. When asked once how many PhD students he had, he replied with a smile: 'Two. Einstein had none'. (Eventually, Dijkstra had four PhD students: Nico Haberman, who for several years was the head of the Computer Science Department at Carnegie Mellon University; Martin Rem, who became the president of the Technical University of Eindhoven; Netty van Gasteren, who until her recent untimely death worked at the same University; and David Naumann, who works at the Stevens Institute of Technology, in Hoboken, NJ, and who had Dijkstra and Tony Hoare as PhD supervisors.)

He was liked and respected by his colleagues, who were struck by his unassuming behaviour. J Strother Moore once remarked about Dijkstra's collegial attitude during departmental meetings: 'Edsger is a great faculty member. He believes in the principle "one person, one vote" and sticks to it.' In fact, Dijkstra never dabbled in university politics and always stayed outside of conflicts. At the same time he was extremely perceptive about people and could immediately recognise who was a dedicated scientist and who was a disguised politician.

He often attended lectures of invited speakers and would do his best to closely follow them until the very end. His courses for students in Austin had little to do with computer science: they dealt with the presentation of mathematical proofs. Actually, on the Department's home page, one could read the following terse summary of his research: 'My area of interest focuses on the streamlining of the mathematical argument so as to increase our powers of reasoning, in particular, by the use of formal techniques.' During the course he would ask students to write up proofs of the elementary mathematical problems he discussed during the class and next time he returned them with detailed comments such as 'Many sins of omissions'.

He was also highly original in his way of assessing people's capacity for a job. When Vladimir Lifschitz came to Austin in 1990 for a job interview, Dijkstra gave him a puzzle. Vladimir solved it and has been working in Austin since then.

Dijkstra's scientific life in Austin was very different from that of a typical computer science researcher. To my knowledge he never submitted a research grant proposal, did not participate in any conference programme committee, and did not attend a conference unless as an invited speaker. He read scientific articles mostly by recommendation and preferred to rely on direct communication with a small group of colleagues, which included some of the most famous computer scientists. In fact, with many colleagues and friends he maintained a letter correspondence that occasionally would span a couple of decades. He started to use email just a couple of years ago, relying before then on fax and handwritten letters as means of communication.

## 6. Lifestyle

As a scientist Dijkstra was a model of honesty and integrity. Most of his publications were written by him alone. The few publications that he wrote jointly with his colleagues bear the unmistakable trait of his writing style. He never had a secretary and took care of all his correspondence alone. He never sought funds in the form of grants or consulting and never lent his name to the initiatives to which he would not contribute in a substantial way. When colleagues prepared a Festschrift for his sixtieth birthday, published by Springer-Verlag, he took the trouble to thank each of the 61 contributors separately, in a hand-written letter.

His supreme self-confidence went together with a remarkably modest lifestyle, to the point of being spartan. His and his wife's house in Nuenen is simple, small and unassuming. He did not own a TV, a VCR or a mobile telephone, and did not go to the movies. In contrast, he played the piano remarkably well and, while in Austin, liked to go to concerts. He also liked to tackle difficult crossword puzzles in Dutch and would not hesitate to send his solutions to the newspaper.

## 7. Legacy

Dijkstra's immense intellectual courage and audacity, and deep, yet strikingly simple and elegant, ideas changed the course of computer science. His integrity as a scientist and as a person in private life cannot be matched. His views on science in general and on research in particular were of remarkable depth and

originality. As J Strother Moore, the chairman of the Computing Science Department at Austin, said during Dijkstra's funeral: 'He was like a man with a light in the darkness. He illuminated virtually every issue he discussed.' In short, he was a genius. In computer science we are all Dijkstra's children.

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