

# On the Shannon capacity of sums and products of graphs

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$\Theta(G)$  is the Shannon capacity of a graph  $G$ .  
 $\alpha(G)$  is the stable set number of a graph  $G$ .  
 $GH$  is the strong product of graphs  $G$  and  $H$ .  
 $G + H$  is the disjoint union of graphs  $G$  and  $H$ .

**Proposition 1.**  $\Theta(GH) > \Theta(G)\Theta(H)$  if and only if  $\Theta(G + H) > \Theta(G) + \Theta(H)$ .

**Proof.** First assume  $\Theta(GH) > \Theta(G)\Theta(H)$ . Then (using  $\Theta(X + Y) \geq \Theta(X) + \Theta(Y)$ ):

$$(1) \quad \Theta(G + H)^2 = \Theta((G + H)^2) = \Theta(G^2 + 2GH + H^2) \geq \Theta(G^2) + 2\Theta(GH) + \Theta(H^2) = \Theta(G)^2 + 2\Theta(GH) + \Theta(H)^2 > \Theta(G)^2 + 2\Theta(G)\Theta(H) + \Theta(H)^2 = (\Theta(G) + \Theta(H))^2.$$

Second assume  $\Theta(GH) \leq \Theta(G)\Theta(H)$ . Then for all  $i, j$  (using  $\Theta(X)\Theta(Y)\Theta(Z) \leq \Theta(XYZ)$ ):

$$(2) \quad \Theta(G^i H^j)\Theta(G)^j\Theta(H)^i = \Theta(G^i H^j)\Theta(G^j)\Theta(H^i) \leq \Theta((GH)^{i+j}) = \Theta(GH)^{i+j} \leq \Theta(G)^{i+j}\Theta(H)^{i+j}.$$

So  $\Theta(G^i H^j) \leq \Theta(G)^i\Theta(H)^j$ . Hence for each  $k$  (using  $\alpha(X + Y) = \alpha(X) + \alpha(Y)$ ):

$$(3) \quad \alpha((G + H)^k) = \alpha\left(\sum_{i=0}^k \binom{k}{i} G^i H^{k-i}\right) = \sum_{i=0}^k \binom{k}{i} \alpha(G^i H^{k-i}) \leq \sum_{i=0}^k \binom{k}{i} \Theta(G^i H^{k-i}) \leq \sum_{i=0}^k \binom{k}{i} \Theta(G)^i \Theta(H)^{k-i} = (\Theta(G) + \Theta(H))^k.$$

Taking  $k$ -th roots and  $k \rightarrow \infty$  gives  $\Theta(G + H) \leq \Theta(G) + \Theta(H)$ . ■