## Information \&

## Communication

## Bachelor Informatica 2014/I5 <br> January 2015

Some of these slides are copied from or heavily inspired by the University of Illinois at Chicago, ECE 534: Elements of Information Theory course given in Fall 2013 by Natasha Devroye
Thank you very much for the kind permission to re-use them here!

## Christian Schaffner



- me
- pure mathematics at ETH Zurich
- PhD from Aarhus, Denmark
- research: quantum cryptography
- c.schaffner@uva.nl
- plays ultimate frisbee


## Practicalities

- final grade consists of 50-50:
- homework series, to be handed in and graded
- student presentations
- final report
- details on course homepage: http://homepages.cwi.nl/~schaffne/courses/infcom/ 2014/


## Expectations

## We expect from you

- be on time
- code of honor (do not cheat)
- focus
- ask questions!

Why multitasking is bad for learning: https://medium.com/@cshirky/why-i-just-asked-my-students-to-put-their-laptops-away-7f5f7c50f368

## Expectations

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## You can expect from us

- be on time
- make clear what goals are
- listen to you and respond to email requests
- keep website up to date

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## Questions ?

## What is communication?

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## Generic communication block diagram



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## History of (wireless) communication

- Smoke signals



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- I86I: Maxwell's equations


$$
\begin{aligned}
& \oint \mathbf{E} \cdot d \mathbf{A}=\frac{q_{e n c}}{\varepsilon_{0}} \\
& \oint \mathbf{B} \cdot d \mathbf{A}=0 \\
& \oint \mathbf{E} \cdot d \mathbf{s}=-\frac{d \Phi_{\mathrm{B}}}{d \mathrm{t}} \\
& \oint \mathbf{B} \cdot d \mathbf{s}=\mu_{0} \varepsilon_{0} \frac{d \Phi_{\mathrm{E}}}{d \mathrm{t}}+\mu_{0} i_{e n c}
\end{aligned}
$$



## History of (wireless) communication

- Smoke signals
- 1861: Maxwell's equations

- 1900: Guglielmo Marconi demonstrates wireless telegraph


Kapfhirer

## History of (wireless) communication

- Smoke signals
- 186I: Maxwell's equations

- 1900: Marconi demonstrates wireless $\oint \mathbf{B} \cdot d \mathbf{s}=\mu_{0} \varepsilon_{0} \frac{d \Phi_{\mathrm{E}}}{d \mathrm{E}}+\mu_{0} i_{e n c}$ telegraph
- 1920s: Edwin Howard Armstrong demonstrates FM radio



## Big Open Questions

- mostly analog
- ad-hoc engineering, tailored to each application
- is there a general methodology for designing communication systems?
- can we communicate reliably in noise?
- how fast can we communicate?


## Claude Elwood Shannon



- Father of Information Theory
- Graduate of MIT I940: "An Algebra for Theoretical Genetics"
- |94I-I972: Scientist at Bell Labs
- I958: Professor at MIT:

When he returned to MIT in 1958, he continued to threaten corridorwalkers on his unicycle, sometimes augmenting the hazard by juggling. No one was ever sure whether these activities were part of some new breakthrough or whether he just found them amusing. He worked, for example, on a motorized pogo-stick, which he claimed would mean he could abandon the unicycle so feared by his colleagues ...

- juggling, unicycling, chess
- ultimate machine


## History of (wireless) communication

- BITS !
- arguably, first to really define and use "bits"
- "He's one of the great men of the century. Without him, none of the things we know today would exist. The whole digital revolution started with him." -Neil Sloane, AT\&T Fellow


## The Bell System Technical Journal

## A Mathematical Theory of Communication

By C. E. SHANNON

- Introduced a new field: Information Theory

What is communication?

What is information?

How much can
we compress information?

How fast can
we
communicate?

## Main Contributions of Inf Theory

## Source coding

- source = random variable
- ultimate data

compression limit is the source's entropy H


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Reliable communication possible $\Leftrightarrow \mathrm{H}<\mathrm{C}$

## Reactions to This Theory

- Engineers in disbelief
- stuck in analogue world



## How to approach the predicted limits?

Shannon says: can transmit at rates up to say 4 Mbps over a certain channel without error. How to do it?

## It Took 50 Years To Do It

## How to approach the predicted limits?

review article by [Costello Forney 2006]

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- 2009: polar codes


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Claude Shannon - Born on the planet Earth (Sol III) in the year 1916 A.D. Generally regarded as the father of the Information Age, he formulated the notion of channel capacity in 1948 A.D. Within several decades, mathematicians and engineers had devised practical ways to communicate reliably at data rates within I\% of the Shannon limit ...

Encyclopedia Galactica, 166th ed.
Robert J. McEliece, Shannon Lecture 2004

## Applications

- Communication Theory
- Computer Science (e.g. in cryptography)
- Physics (thermodynamics)
- Philosophy of Science (Occam's Razor)
- Economics (investments)
- Biology (genetics, bio-informatics)


## Topics Overview

- Entropy and Mutual Information
- Entropy Diagrams
- Perfectly Secure Encryption
- Data Compression
- Coding Theory
- Channel-Coding Theorem
- Zero-Error Information Theory
- Noisy-Channel Theorem
- Application to Machine Learning


## Questions ?

## Example: Letter Frequencies

| $i$ | $a_{i}$ | $p_{i}$ |  |
| :---: | :---: | :---: | :---: |
| 1 | a | 0.0575 | a |
| 2 | b | 0.0128 | b |
| 3 | c | 0.0263 | c |
| 4 | d | 0.0285 | d |
| 5 | e | 0.0913 | e |
| 6 | f | 0.0173 | f |
| 7 | g | 0.0133 | g |
| 8 | h | 0.0313 | h |
| 9 | i | 0.0599 | i |
| 10 | j | 0.0006 | j |
| 11 | k | 0.0084 | k |
| 12 | 1 | 0.0335 | 1 |
| 13 | m | 0.0235 | m |
| 14 | n | 0.0596 | n |
| 15 | - | 0.0689 | $\bigcirc$ |
| 16 | p | 0.0192 | p |
| 17 | q | 0.0008 | q |
| 18 | r | 0.0508 | r |
| 19 | s | 0.0567 | s |
| 20 | t | 0.0706 | t |
| 21 | u | 0.0334 | u |
| 22 | v | 0.0069 | v |
| 23 | w | 0.0119 | w |
| 24 | x | 0.0073 | x |
| 25 | y | 0.0164 | y |
| 26 | z | 0.0007 | z |
| 27 | - | 0.1928 |  |

Figure 2.1. Probability distribution over the 27 outcomes for a randomly selected letter in an English language document (estimated from The Frequently Asked Questions Manual for Linux). The picture shows the probabilities by the areas of white squares.

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| 19 | s | 0.0567 | S |
| 20 | t | 0.0706 | t |
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distribution over the 27 outcomes for a randomly selected letter in an English language document (estimated from The Frequently Asked Questions Manual for Linux). The picture shows the probabilities by the areas of white squares.

## Example: Surprisal Values

from http://www.umsl.edu/~fraundorfp/egsurpri.html

| situation | probability $p=1 / 2^{\text {\#bits }}$ | surprisal \#bits $=\ln _{2}[1 / \mathrm{p}]$ |
| :---: | :---: | :---: |
| one equals one | 1 | 0 bits |
| wrong guess on a 4-choice question | 3/4 | $\ln _{2}[4 / 3] \sim 0.415$ bits |
| correct guess on true-false question | 1/2 | $\ln _{2}[2]=1 \mathrm{bit}$ |
| correct guess on a 4-choice question | 1/4 | $\ln _{2}[4]=2$ bits |
| seven on a pair of dice | $6 / 6^{2}=1 / 6$ | $\ln 2[6] \sim 2.58$ bits |
| snake-eyes on a pair of dice | $1 / 6^{2}=1 / 36$ | $\mathrm{In}_{2}[36] \sim 5.17$ bits |
| random character from the 8-bit ASCII set | 1/256 | $\ln 2\left[2^{8}\right]=8$ bits $=1$ byte |
| N heads on a toss of N coins | $1 / 2^{\mathrm{N}}$ | $\ln _{2}\left[2^{N}\right]=N$ bits |
| harm from a smallpox vaccination | $\sim 1 / 1,000,000$ | $\sim \ln _{2}\left[10^{6}\right] \sim 19.9$ bits |
| win the UK Jackpot lottery | 1/13,983,816 | $\sim 23.6$ bits |
| RGB monitor choice of one pixel's color | $1 / 256^{3} \sim 5.9 \times 10^{-8}$ | $\ln _{2}\left[2^{8 * 3}\right]=24$ bits |
| gamma ray burst mass extinction event TODAY! | $<1 /\left(10^{9 * 365) ~} \sim 2.7 \times 10^{-12}\right.$ | hopefully $>38$ bits |
| availability to reset 1 gigabyte of random access memory | $1 / 2^{8 \mathrm{E} 9} \sim 10^{-2.4 \mathrm{E} 9}$ | $8 \times 10^{9}$ bits $\sim 7.6 \times 10^{-14} \mathrm{~J} / \mathrm{K}$ |
| choices for $6 \times 10^{23}$ Argon atoms in a 24.2 L box at 295 K | $\sim 1 / 2^{1.61 \mathrm{E} 25} \sim 10^{-4.8 \mathrm{E} 24}$ | $\sim 1.61 \times 10^{25}$ bits $\sim 155 \mathrm{~J} / \mathrm{K}$ |
| one equals two | 0 | $\infty$ bits |


| $i$ | $a_{i}$ | $p_{i}$ | $h\left(p_{i}\right)$ |
| :---: | :---: | :---: | ---: |
| 1 | a | .0575 | 4.1 |
| 2 | b | .0128 | 6.3 |
| 3 | c | .0263 | 5.2 |
| 4 | d | .0285 | 5.1 |
| 5 | e | .0913 | 3.5 |
| 6 | f | .0173 | 5.9 |
| 7 | g | .0133 | 6.2 |
| 8 | h | .0313 | 5.0 |
| 9 | i | .0599 | 4.1 |
| 10 | j | .0006 | 10.7 |
| 11 | k | .0084 | 6.9 |
| 12 | l | .0335 | 4.9 |
| 13 | m | .0235 | 5.4 |
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| 19 | s | .0567 | 4.1 |
| 20 | t | .0706 | 3.8 |
| 21 | u | .0334 | 4.9 |
| 22 | v | .0069 | 7.2 |
| 23 | w | .0119 | 6.4 |
| 24 | x | .0073 | 7.1 |
| 25 | y | .0164 | 5.9 |
| 26 | z | .0007 | 10.4 |
| 27 | - | .1928 | 2.4 |
|  |  |  |  |
| $\sum$ | $p_{i}$ | $\log _{2} \frac{1}{p_{i}}$ | 4.1 |
|  |  |  |  |

Table 2.9. Shannon information contents of the outcomes a-z.

Book by David MacKay

