## Information Theory Exercise Sheet #7 (Channel Capacities)

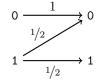
University of Amsterdam, Master of Logic, Fall 2015 Lecturer: Christian Schaffner TA: Mathias Madsen

> Out: Wednesday, 9 December 2015 (due: Friday, 18 December 2015, 9:00)

- 1. Channel Capacities (Cover and Thomas, Ex. 7.34) Find the capacity and optimal input distribution of the following channels:
  - (a) The Z-channel with transition matrix

$$P_{Y|X} = \begin{bmatrix} 1 & 0\\ 1/2 & 1/2 \end{bmatrix}$$

and channel diagram



(b) The ternary channel with transition matrix

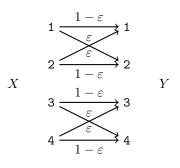
$$P_{Y|X} = \begin{bmatrix} 2/3 & 1/3 & 0\\ 1/3 & 1/3 & 1/3\\ 0 & 1/3 & 2/3 \end{bmatrix}$$

- 2. Toggle channel (Cover and Thomas, Ex. 7.28) Suppose we are given two (possibly different) channels with capacities  $C_1$  and  $C_2$ . We then define a new "union channel" by allowing the transmitter at each time to choose between sending a signal through either channel 1 or channel 2. We assume that that the output alphabets of the two component channels are distinct and do not intersect.
  - (a) Letting C stand for the capacity of the union channel, show that

$$2^C = 2^{C_1} + 2^{C_2}$$

Use this result to calculate the capacity of the following channels:

(b) Two parallel BSCs:



(c) A BSC and a single symbol:

$$X \qquad \begin{array}{c} 1 \xrightarrow{1-\varepsilon} \\ 2 \xrightarrow{\varepsilon} \\ 1-\varepsilon \end{array} \xrightarrow{1} 2 \qquad Y \\ 3 \xrightarrow{1} 3 \qquad 3 \end{array}$$

- 3. Noise alphabets (Cover and Thomas, Ex. 7.30) Consider an additivenoise channel where  $\mathcal{X} = \{0, 1, 2, 3\}$  and Y = X + Z with addition over the integers  $\mathbb{Z}$ . Z is uniformly distributed over three distinct integer values  $\mathcal{Z} = \{z_1, z_2, z_3\}.$ 
  - (a) What is the maximum capacity over all choices of the Z alphabet? Give distinct integer values  $z_1, z_2, z_3$  and a distribution on  $\mathcal{X}$  achieving this.
  - (b) What is the minimum capacity over all choices of the Z alphabet? Give distinct integer values  $z_1, z_2, z_3$  and a distribution on  $\mathcal{X}$  achieving this.
- 4. Source and channel (Cover and Thomas, Ex. 7.31) We wish to encode a sequence  $B_1, B_2, B_3, \ldots, B_n$  of i.i.d. Bernoulli( $\theta$ ) variables,

$$P_B(1) = 1 - P_B(0) = \theta,$$

for transmission over a binary symmetric channel with flip probability  $\varepsilon$ ,

$$B^n \longrightarrow X^n(B^n) \longrightarrow \begin{bmatrix} 0 & 1-\varepsilon \\ & & & \\ & & & \\ 1 & & & \\ & & & 1-\varepsilon \end{bmatrix} \xrightarrow{} Y^n \longrightarrow \hat{B}^n.$$

Find conditions on  $(\theta, \varepsilon)$  under which the error probability  $\Pr[\hat{B}^n \neq B^n]$  can be made to go to zero as  $n \to \infty$ .

5. Another Kind of Entropy. In this exercise we consider a different entropy notion. Let X and Y be random variables with joint probability distribution  $P_{XY}$ . The guessing probability and the min-entropy of X are respectively defined as

$$\operatorname{Guess}(X) := \max_{x} P_X(x) \text{ and } H_{\min}(X) := -\log \operatorname{Guess}(X).$$

The conditional guessing probability and the conditional min-entropy of X are respectively defined as

$$\operatorname{Guess}(X|Y) := \sum_{y} P_Y(y) \operatorname{Guess}(X|Y=y)$$

and

$$H_{\min}(X|Y) := -\log \operatorname{Guess}(X|Y).$$

- (a) If X has no uncertainty (i.e. H(X) = 0), what is  $H_{\min}(X)$ ?
- (b) If X is uniformly distributed over  $\mathcal{X}$ , what is  $H_{\min}(X)$ ?
- (c) Prove that  $H_{\min}(XY) \ge H_{\min}(X)$ .
- (d) Prove that  $H_{\min}(X) \ge H_{\min}(X|Y)$ .
- (e) Prove that  $H_{\min}(X|Y) \ge H_{\min}(XY) \log |\mathcal{Y}|.$

Homework is exercises 1, 2, 3, and 4.